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| **APPLICATION FORM FOR RECOGNITION OF A PROFESSIONAL DEVELOPMENT ACTIVITY** |
| **INDIVIDUAL (BY A REPRESENTATIVE)** |

A representative who wants to obtain PDUs on an individual basis for a professional development activity (“activity”) must send the following documents **by email** to the Chambre de la sécurité financière (“CSF”) at [accreditation@chambresf.com](mailto:accreditation@chambresf.com):

* This application form
* The resume or biography of the trainer(s)
* The summative evaluation (mandatory in the case of a remote training or pre-recorded webinar)
* The training plan if the one presented in this form was not used
* The fee payment form
* The certificate of attendance

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| identification of the representative | | | | | | |
| Ms. Mr. |
| First and last name | | | AMF certificate number: | | | |
| Address: | | | | | | |
| City: | | Province: | | | Postal code: |
| Phone: | | | | Ext.: | |
| Email: | | | | | |

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| **identification of the trainer** |

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| 1. Name of the trainer:   Are they a representative?  Yes. AMF certificate number:      /  No  Do they have a disciplinary record?  Yes /  No  One of these 2 documents is attached to this application:  Resume  Biography  Brief description of the trainer’s experience and acquired knowledge, demonstrating they have the necessary competencies to lead this training activity (maximum 3 lines): |

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| **identification of the activity** |

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| Title and language of the activity  English:  French: | |
| Date of the activity: Click to select a date | |
| level of difficulty |  |
| Beginner  Intermediary  Advanced | |
| Type of activity |  |
| Classroom training | |
| Symposium, conference, convention | |
| Live webinar | |
| Videoconference | |
| Distance training | |
| Pre-recorded webinar | |
| Other (specify): | |

**CSF Subject(s) corresponding to the activity**

Please check the subject(s) corresponding to the activity.

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| 1. General subjects | | | |
| Management of a financial services firm  Civil Code  Accounting  Economics  Finance  Business planning for clients | Business planning for representatives  Financial planning  Tax planning  Actuarial sciences  Legislative environment  Intestate and testamentary successions | | |
| 1. Insurance of persons | | | |
| Client counselling  Underwriting or risk management  Disability insurance  Life insurance  Trusts  Risk management in insurance of persons  Underwriting in insurance of persons | Accident or health insurance plans  Segregated trusts  Strategy of wealth accumulation and use  Financial needs analysis  Deferred income plans  Investor profile and asset allocation  Investment strategy  Retirement and estate planning | | |
| 1. Group insurance of persons |  | | |
| Client counselling  Underwriting or risk management  Disability insurance  Life insurance  Group insurance and group pension plans  Benefits and underwriting in group insurance and group annuity plans  Setting up a group insurance and group annuity plans | Preparing a rate schedule and analyzing group insurance and group annuity quotes  Preparing a group insurance and group annuity recommendation  Public and private plans  Processing group insurance claims | | |
| 1. Group savings plan brokerage |  | | |
| Client counselling  Underwriting or risk management  Retirement and estate planning  Trusts  Strategy of wealth accumulation and use  Deferred income plans | Mutual funds  Investor profile and asset allocation  Investment strategy  Knowing the client  Registered plans | | |
| 1. Scholarship plan brokerage |  | | |
| Client counselling  Underwriting or risk management  Investor profile | Knowing the client  Strategy of wealth accumulation and use  Scholarship plans | | |
| 1. Compliance with standards, ethics, and business conduct | |  | |
| Any structured activity that aims to improve a representative’s expertise in the subjects related to the laws, regulations, and ethics in insurance of persons, group insurance of persons, groups savings plan brokerage, or scholarship plan brokerage may be recognized in this category.  *For informational purposes, here is a non-exhaustive list of subjects that may fall into this category:* | | |  |
| Notions of ethics, code of conduct, and professional ethics  Code of ethics of the Chambre / Regulation respecting the rules of ethics in the securities sector  Disciplinary committee’s jurisprudence  Role of the syndic and investigation process  Role of the disciplinary committee and disciplinary process  Compliance notions and programs | Legal and regulatory obligations of registrants  Legal and regulatory obligations of representatives  Laws and regulations concerning the practice of registrants and representatives  Other (specify): | | |

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| **Full description of the activity** |

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| 1. **Professional knowledge, competencies, and skills**   *As per the Regulation respecting compulsory professional development, section 18 for the [CSF](http://legisquebec.gouv.qc.ca/en/ShowDoc/cr/D-9.2,%20r.%2013.1).*  Please **check** which professional knowledge, competencies, and skills the activity aims to improve:  Acquisition and betterment of an integrated approach to the pursuit of the activities for which the representatives hold an authorization to practice  Acquisition and application of knowledge and analysis methods specific to the activities of the representatives  Acquisition, comprehension, and application of theoretical and technical knowledge in subjects pertaining to the compliance with standards, ethics, and business conduct |
| **Please briefly explain** how this activity will develop the above (maximum 3 lines): |
| 1. **Training plan**   Please fill out the proposed training plan included at the end of this application form and list the topics and sub-topics as well as the time allocated to each and their related objective(s). You may also attach a training plan to your application and use the same information.  **Check**:  The proposed training plan was filled out  A training plan is attached to the application |
| 1. **Summative evaluation and learning activities** 2. **Summative evaluation**   *For distance training or a pre-recorded webinar, the summative evaluation* ***must*** *be attached to this application. The following must also be provided:*   * The questions in the evaluation are difficult enough to determine if the person has participated in the training activity in its entirety.  Yes /  No * The passing grade is: %.  1. **Only for distance training - Participatory learning activities** (case studies, open-ended questions, closed-ended questions, drag and drop questions, multiple choice questions, true or false, etc.)  * What type of learning activities are included in this activity?      * How many learning activities does this activity include? |
| 1. **Control measure**   Please describe how attendance or participation was measured (2 lines maximum): |

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| **Recognition requested** |

*Only completed hours will be recognized.*

Duration of the activity:    hour(s)

Number of professional development units (“PDUs”) requested:

Does this activity include information intended to promote specific financial products or services?  Yes, please specify how many minutes in total were dedicated to this promotion:      /  No

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| CSF Subjects | Number of hours | Number of PDUs |
| General subjects |  |  |
| Insurance of persons |  |  |
| Group insurance of persons |  |  |
| Group savings plan brokerage |  |  |
| Scholarship plan brokerage |  |  |
| Compliance with standards, ethics, and business conduct |  |  |
|  | TOTAL |  |

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| **Declaration** | | |
| * I confirm that the information in this application and annexed documents is true and I accept all the conditions relating to the recognition of a training activity * I understand that unless it receives any missing information or documents requested within fifteen (15) business days following reception of this application for recognition, the CSF will cancel this application and no basic fees will be reimbursed * I consent to adhering to the CSF’s [Policy on compulsory professional development activities](https://www.chambresf.com/en/education/continuing-education-provider/policy-on-compulsory-professional-development-activities/) | | |
|  |  | Click here to choose a date. |
| Signature of the representative |  | Date |

**OR**

I understand that checking this box constitutes a signature that has legal force.

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| **Training plan** |

Please complete this training plan and list the topics and sub-topics addressed along with the time allocated to each one as well as the objectives in line with the subject. You may also attach a training plan as long as it includes all the information required below.

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| Provider |
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| Title of the training activity |
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| General objective |
| *At the end of this activity, the participant will be able to…* |
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| **Training plan (continued)** | | | | | |
| **Specific objectives**  *Describes what the participant will be able to do at the end of the activity. Includes an* ***action verb*** *describing observable behaviour.* **MANDATORY – Minimum of 2 specific objectives** | **ELEMENTS OF CONTENT**  *Subjects covered in line with each specific objective* | **Learning strategy and activity**  *Classroom: oral presentation, discussion, workshop, case study, exercises, etc.*  *Remote: lecture, video, quiz with feedback, interaction with the conference speaker, etc.* | **Learning material**  *PowerPoint, textbook, tools, workbook, video, etc.* | **Duration**  *Specify for each element of content* | **Subjects\***  **(please use the drop-down menu to select the abbreviation corresponding to each element of content)** |
|  |  |  |  |  | CSF Choice |
|  |  |  |  |  | CSF Choice |
|  |  |  |  |  | CSF Choice |

\*List and abbreviations of subjects:

**CSF**: GS: General subjects; CO: Compliance with standards, ethics, or business conduct; IP: Insurance of persons; GIP: Group insurance of persons; GSPB: Group savings plan brokerage; SPB: Scholarship plan brokerage

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| **Training plan (continued)** | | | | | |
| **Specific objectives**  *Describes what the participant will be able to do at the end of the activity. Includes an* ***action verb*** *describing observable behaviour.* **MANDATORY – Minimum of 2 specific objectives** | **ELEMENTS OF CONTENT**  *Subjects covered in line with each specific objective* | **Learning strategy and activity**  *Classroom: oral presentation, discussion, workshop, case study, exercises, etc.*  *Remote: lecture, video, quiz with feedback, interaction with the conference speaker, etc.* | **Learning material**  *PowerPoint, textbook, tools, workbook, video, etc.* | **Duration**  *Specify for each element of content* | **Subjects\***  **(please use the drop-down menu to select the abbreviation corresponding to each element of content)** |
|  |  |  |  |  | CSF Choice |
|  |  |  |  |  | CSF Choice |
|  |  |  |  |  | CSF Choice |

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