

## **REGULATION OF THE CHAMBRE DE LA SÉCURITÉ FINANCIÈRE RESPECTING DUES**

### **An Act respecting the distribution of financial products and services (R.S.Q., c. D-9.2, s. 320)**

1. The annual dues payable by a member to the Chambre de la sécurité financière for the year 2012 are \$275.
2. The annual dues payable by a member to the Chambre de la sécurité financière as of the year 2013 are \$285.
3. A member must pay his dues no later than the 30<sup>th</sup> day following receipt of the invoice for that purpose sent to him in January of each year.

However, a natural person who is not a member of the Chambre de la sécurité financière and who applies for registration under the Securities Act, R.S.Q., c. V-1.1, or for the issuance of a representative's certificate under An Act respecting the distribution of financial products and services, R.S.Q., c. D-9.2, must pay his dues at the time he sends his application. The amount of these dues is the higher of the following amounts, rounded off to the nearest dollar:

- (1) one quarter of the annual dues provided for in section 1 or 2, as the case may be; or
- (2) the amount obtained by multiplying the annual dues provided for in section 1 or 2, as the case may be, by a fraction whose numerator is the number of months not yet elapsed in the then current calendar year and whose denominator is 12.

The dues paid are not refundable.

4. This Regulation comes into force on January 1, 2012 and replaces the Règlement sur la cotisation de la Chambre de la sécurité financière approved by the members on June 4, 2010 (Res. AGA-10 no. 4).